

VCBF MID-CAP GROWTH FUND

C.O. Vietcombank Fund Management,

License no.: 48/GCN-UBCK issued by the State Securities Commission of Vietnam on 02 December 2021

Hanoi, 24 December 2021

NOTICE

To: Investors of VCBF Mid-Cap Growth Fund (VCBF-MGF)

Re: Collecting Investors' written opinions

Vietcombank Fund Management ("VCBF") would like to send our respectful greetings to the esteemed Investors of VCBF Mid-Cap Growth Fund ("VCBF-MGF").

VCBF would like to inform you about the need to collect Investors' written opinions of VCBF-MGF with the following details:

1. **Content for collecting written opinions:** To approve the amendments and supplements to Article 13.4 of the Charter of VCBF Mid-Cap Growth Fund (as detailed in the Proposal dated 24 December 2020)

2. **Time to start sending back Voting Form:** From 27 December 2021

3. **Deadline for sending back Voting Form:** 17:00 Friday, 14 January 2022

Investors please send the Voting Form (after filling in all the information) to VCBF no later than 17:00 Friday, 14 January 2022 in one of the following forms:

- Sending the Voting Form in sealed envelopes to VCBF at the address: Customer Services Department - Vietcombank Fund Management, 15th Floor, Vietcombank Tower, 198 Tran Quang Khai, Hoan Kiem District, Hanoi, Vietnam; or
- Sending the Voting Form via fax: +84 (0)24 3936 4542; or
- Sending the scanned file of the Voting Form to the email address: cs@vcbf.com

4. **Time and venue of counting votes:**

- Time: Monday, 17 January 2022
- Venue: Vietcombank Fund Management, 15th Floor, Vietcombank Tower, 198 Tran Quang Khai, Hoan Kiem District, Hanoi, Vietnam

5. **Method of voting:**





Global Knowledge. Local Expertise

English Translation for Reference

- After reviewing the content presented in the Proposal on content for collecting written opinions of Investors, Investors please **vote through the Voting Form** in the following order:
 - Fill in **Section 1 - Investor's Information**;
 - Vote in **Section III - Voting opinion** by marking ("x" or "✓") into only one of three options as follows: either Agree; or Disagree; or Abstain;
 - Sign and clearly state the full name for individual investors; sign and clearly state the full name of the legal representative (or authorized representative) and stamp for institutional investors.
- **Valid Voting Form** is:
 - Issued by VCBF and chopped with company seal of VCBF;
 - Content for voting must be marked ("x" or "✓") into only one of the three options;
 - Having signature and full name of individual investor; signature of the legal representative (or authorized representative) and seal of institutional investor;
 - In case of being sent via mail, the Voting Form must be placed in a sealed envelope and sent to VCBF no later than 17:00, 14 January 2022 (based on the mailing date confirmed/stamped by the delivery unit or the signing date in case of hand delivery).
 - In case of being sent via fax or email, the Voting Form must be sent to VCBF no later than 17:00, 14 January 2022 (based on the time indicated on the fax or email) and must not be disclosed until the vote counting.
- **Invalid Voting Form** is the form which do not meet the conditions of the valid Voting Form.
- Each fund unit will be equivalent to one vote.

6. Other issues:

- Voting form, Proposal and related documents will be sent to Investors by post and/or posted on VCBF's website at: www.vcbf.com.
- For any questions or further information, please contact VCBF's Customer Care Department at the following address:
 - Hotline: +84 (0) 24) 3936 4540
 - Email: cs@vcbf.com

Thank you for your cooperation.

Sincerely,

VIETCOMBANK FUND MANAGEMENT



VCBF MID-CAP GROWTH FUND

C.O. Vietcombank Fund Management,

License no.: 48/GCN-UBCK issued by the State Securities Commission of Vietnam on 02 December 2021

Hanoi, 24 December 2021

**PROPOSAL ON CONTENT FOR COLLECTING WRITTEN OPINIONS OF INVESTORS
VCBF MID-CAP GROWTH FUND (VCBF-MGF)**

Content: To approve the amendments and supplements to Article 13.4 of VCBF-MGF Fund Charter

Pursuant to Art 63 of the Fund Charter, the Investor is kindly requested to consider and vote on the amendments and supplements to Article 13.4 of the Charter as listed below:

The changes are indicated **in underlined and bold text** for insertions as follows:

*“**The Fund Management Company**, related persons of the Fund Management Company (except for funds, securities investment companies managed by the Fund Management Company), members of the board of directors, general director, deputy general director, employees of the Fund Management Company and related persons of members of the board of directors, general director, deputy general director, employees of the Fund Management Company are allowed to trade Fund Certificates and become an Investor.”*

Reason for proposal: In order that Vietcombank Fund Management is allowed to invest in VCBF-MGF in accordance with the law and with common practice for seed money from the Manager to thereby support the Fund's early viability and operational efficiency.

Sincerely,



Vũ Quang Đông
TỔNG GIÁM ĐỐC



VCBF MID-CAP GROWTH FUND

C.O. Vietcombank Fund Management,

License no.: 48/GCN-UBCK issued by the State Securities Commission of Vietnam on 02 December 2021

Hanoi, 20...

VOTING FORM VCBF MID-CAP GROWTH FUND

I. INVESTOR'S INFORMATION:

Investor's full name:

Address:

Nationality:

ID Card/Passport/Business Registration number:

Representative (in case of institutional Investor)

Holdings of fund units: According to the data as of 23 December 2021

II. CONTENT FOR COLLECTING INVESTOR'S OPINION:

To approve the amendments and supplements to Article 13.4 of VCBF Mid-Cap Growth Fund Charter (as detailed in the Proposal dated 24 December 2021).

III. VOTING OPINION:

No	Content for voting	Agree	Disagree	Abstain
1	To approve the amendments and supplements to Article 13.4 of VCBF Mid-Cap Growth Fund Charter (as detailed in the Proposal dated 24 December 2021)			

INVESTOR

(sign, clearly state full name, stamp in case of institutional Investors)

Head Office
Vietcombank Tower, 15th Floor,
198 Tran Quang Khai St., Hanoi, Vietnam
T. (84-4) 39364540 F. (84-4) 39364542

Ho Chi Minh Office
Centec Tower, 14th Floor,
72-74 Nguyen Thi Minh Khai St., Dist. 3, HCMC, Vietnam
T. (84-8) 38270750 F. (84-8) 38270751





Global Knowledge. Local Expertise

Note

- *Investor please vote in the following order:*
 - *Fill in Section 1 - Investor's Information;*
 - *Vote in Section III - Voting opinion by marking ("x" or "✓") into only one of the three options as follows: either Agree; or Disagree; or Abstain;*
 - *Sign and clearly state the full name for individual investors; sign and clearly state the full name of the legal representative (or authorized representative) and stamp for institutional investors.*
- *Investor please sends this Voting Form to VCBF no later than 17:00 Friday, 14 January 2022 in one of the following forms:*
 - *Sending the Voting Form in sealed envelopes to VCBF at the address: Customer Services Department - Vietcombank Fund Management, 15th Floor, Vietcombank Tower, 198 Tran Quang Khai, Hoan Kiem District, Hanoi, Vietnam; or*
 - *Sending the Voting Form via fax: +84 (0)24 3936 4542; or*
 - *Sending the scanned file of the Voting Form to the email address: cs@vcbf.com*



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QUỸ ĐẦU TƯ CỔ PHIẾU TĂNG TRƯỞNG
VCBF (VCBF-MGF)/ VCBF Mid-Cap Growth Fund
Được quản lý bởi/ C.O
CÔNG TY LIÊN DOANH QUẢN LÝ QUỸ ĐẦU TƯ CHỨNG
KHOÁN VIETCOMBANK
VIETCOMBANK FUND MANAGEMENT

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập – Tự do – Hạnh phúc
SOCIALIST REPUBLIC OF VIET NAM
Independence - Freedom - Happiness

Hà Nội, ngày tháng tháng năm 2022
Hanoi, 2022

NGHỊ QUYẾT ĐẠI HỘI NHÀ ĐẦU TƯ
QUỸ ĐẦU TƯ CỔ PHIẾU TĂNG TRƯỞNG VCBF (VCBF-MGF)
RESOLUTION OF INVESTOR GENERAL MEETING
OF VCBF MID-CAP GROWTH FUND

- Theo Luật Chứng khoán số 54/2019/QH14 được Quốc hội Nước Cộng hòa Xã hội Chủ nghĩa Việt Nam thông qua vào ngày 26 tháng 11 năm 2019;
Pursuant to Law on Securities No. 54/2019/QH14 passed by the National Assembly of the Socialist Republic of Vietnam on 26 November 2019;
- Theo Thông tư số 98/2020/TT-BTC của Bộ Tài chính ngày 16 tháng 11 năm 2020 hướng dẫn hoạt động và quản lý quỹ đầu tư chứng khoán;
Pursuant to Circular No. 98/2020/TT-BTC of the Ministry of Finance dated 16 November 2020 providing guidance on operation and management of securities investment fund;
- Theo Điều lệ của Quỹ Đầu Tư Cổ phiếu Tăng trưởng VCBF; và
Pursuant to the Charter of VCBF Mid-Cap Growth Fund; and
- Theo Biên bản tổng hợp kết quả kiểm phiếu lấy ý kiến nhà đầu tư của Quỹ Đầu Tư Cổ phiếu Tăng trưởng VCBF ngày 17/01/2022;
Pursuant to the Minutes of voting results' summary of VCBF Mid-Cap Growth Fund dated 17 January 2022.

QUYẾT NGHỊ/RESOLVED THAT

Điều 1: Thông qua bản Điều lệ Quỹ với những thay đổi tại Khoản 4 Điều 13 như sau:

Phần bổ sung được **gạch chân và bôi đậm**:

“**Công Ty Quản Lý Quỹ**, người có liên quan của Công Ty Quản Lý Quỹ (ngoại trừ các quỹ, công ty đầu tư chứng khoán do Công Ty Quản Lý Quỹ quản lý), thành viên hội đồng quản trị, tổng giám đốc, phó tổng giám đốc, nhân viên của Công Ty Quản Lý Quỹ và người có liên quan của thành viên hội đồng quản trị, tổng giám đốc, phó tổng giám đốc, nhân viên của Công Ty Quản Lý Quỹ được phép giao dịch Chứng Chỉ Quỹ và trở thành Nhà Đầu Tư.”

Article 1: The Charter amendments to Article 13.4 be approved as follows:

The changes are indicated **in underlined and bold text** for insertions:

“**The Fund Management Company**, related persons of the Fund Management Company (except for funds, securities investment companies managed by the Fund Management Company), members of the board of directors, general director, deputy general director, employees of the Fund Management Company and related persons of members of the board of



directors, general director, deputy general director, employees of the Fund Management Company are allowed to trade Fund Certificates and become an Investor.”

Điều 2. Trong trường hợp có sự không nhất quán giữa bản tiếng Việt và tiếng Anh của Điều lệ Quỹ, bản tiếng Việt sẽ được ưu tiên áp dụng.

Article 2. *In the case of any inconsistency between English and Vietnamese of the Charter, Vietnamese language shall prevail.*

Điều 3. Nghị quyết này có hiệu lực kể từ ngày tháng năm 2022.

Article 3. *The validity of the General Meeting Resolution is from 2022.*

Ông/Mr. Mạc Quang Huy
Chủ tịch BDD Quỹ/Chairman of FRB

Ông/Mr. Vũ Quang Đông
Tổng Giám đốc VCBF/VCBF Chief Executive Officer

